

**HOMEWORK SET 07**  
 Theory of Condensed Matter  
 UFV/TKL1/99 lecture by Martin Gmitra  
 Winter Semester 2024, room KNKTFA

1. Prove the following properties of the dynamical matrix and its spectrum
  - a) [1 point]  $D$  is hermitian:  $\mathcal{D}_{a\mu,b\nu}(\mathbf{q}) = \mathcal{D}_{b\nu,a\mu}^*(\mathbf{q}) = \mathcal{D}_{a\mu,b\nu}^*(-\mathbf{q})$
  - b) [2 points] the frequencies and polarizations satisfy:  $\omega_\lambda(-\mathbf{q}) = \omega_\lambda(\mathbf{q})$ , and  $(e_{a\mu}^\lambda(-\mathbf{q}))^* = e_{a\mu}^\lambda(\mathbf{q})$ ; and use this to show that the normal coordinates satisfy  $Q_\lambda(\mathbf{q}) = Q_\lambda^*(-\mathbf{q})$ .
  - c) [2 points] for  $\mathbf{q} = 0$  in three dimensions there are always three degenerate states with zero frequency  $\omega_\lambda(0) = 0$ ,  $\lambda = 1, 2, 3$ . These states are origin of the three acoustic modes. Guess the form of the eigenstate polarization vectors (*hint: use the orthonormality property of the polarization vectors*). What do these vectors correspond to physically? Why is their energy zero?
  
2. Consider a two dimensional square lattice with lattice constant  $a$  and a basis of three atoms per primitive cell.
  - a) [2 points] What are the total number of phonon branches for each of the following: longitudinal acoustic, transverse acoustic, longitudinal optic, and transverse optic?
  - b) [2 extra points] Assume that the acoustic modes are represented by the Debye model. What is the maximum acoustic phonon frequency in terms of the lattice constant  $a$  and the velocity of sound  $v_s$ ?
  - c) [2 extra points] Find the contribution to the low-temperature heat capacity (within a dimensionless coupling constant) from the longitudinal acoustic phonon branch.

Express your answer in terms of  $T$ ,  $T_D$ , and  $N$ , where  $T$  is the temperature,  $T_D$  is the Debye temperature, and  $N$  is the number of cells in the crystal.

3. Real crystals are not constructed of ideal elastic springs for which the potential varies as  $U(x) = cx^2$ . The potential rather includes also anharmonic contributions,  $U(x) = cx^2 - gx^3 - fx^4$ . We can estimate the thermal expansion of a crystal by computing the thermal average equilibrium separation using Boltzmann statistics as
 
$$\langle x \rangle = \frac{\int_{-\infty}^{\infty} x e^{-U(x)/k_B T} dx}{\int_{-\infty}^{\infty} e^{-U(x)/k_B T} dx}$$
  - a) [2 points] Show that for a crystal bound with ideal springs, there is no thermal expansion.
  - b) [2 extra points] Show that for an anharmonic interaction, the thermal expansion is given approximately as  $\langle x \rangle = 3gk_B T/4c^2$ . Use expansion for the small  $x$  as  $e^{-U(x)/k_B T} \approx e^{-cx^2/k_B T} (1 + gx^3/k_B T + fx^4/k_B T)$ .
  
4. [5 extra points] Show that the potential energy of atomic vibrations in terms of the normal modes is  $\sum_{\mathbf{q}\lambda} \omega^2(\mathbf{q}) |Q_\lambda(\mathbf{q})|^2$ .
  
5. [1 extra points] A crystal has a volume of 1 cm<sup>3</sup> and a sound velocity of 6000 m/s. At  $T = 300$  K, what is the number of phonons between the frequencies  $4.0 \times 10^6$  Hz and  $4.1 \times 10^6$  Hz? (*hint:  $4.0 \times 10^6$  Hz is much lower than the Debye frequency so the dispersion relation is linear at these frequencies and the crystal structure is not relevant. This is the long-wavelength limit. First calculate the number of the phonon modes in this frequency interval. Then use the Bose-Einstein factor  $f_{BE} = 1/[\exp(\hbar\omega/k_B T) - 1]$  to calculate the mean number of phonons in these modes.*)
  
6. [1 extra points] Calculate the density of gold from the phonon density of states. By numerically integrating over all frequencies it is possible to determine the number of phonon modes per m<sup>3</sup>. This must be equal to number of vibrational degrees of freedom per m<sup>3</sup>. The number of vibrational degrees of freedom is 3 times the number of atoms per m<sup>3</sup>. Calculate the

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concentration of atoms per  $\text{m}^3$  and multiply by the mass of an atom to get the density. For the integral of the phonon density of states over all frequencies use  $17.7 \times 10^{28} \text{ m}^{-3}$ .

7. [2 extra points] Use the data in the figure below to estimate the Debye temperature of the silicon in the diamond structure. The sound velocities for the acoustic modes estimate on the  $\Gamma X$  line.

